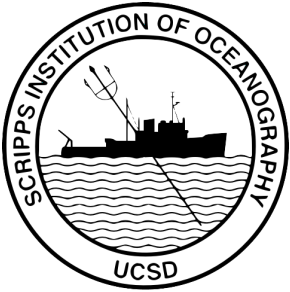


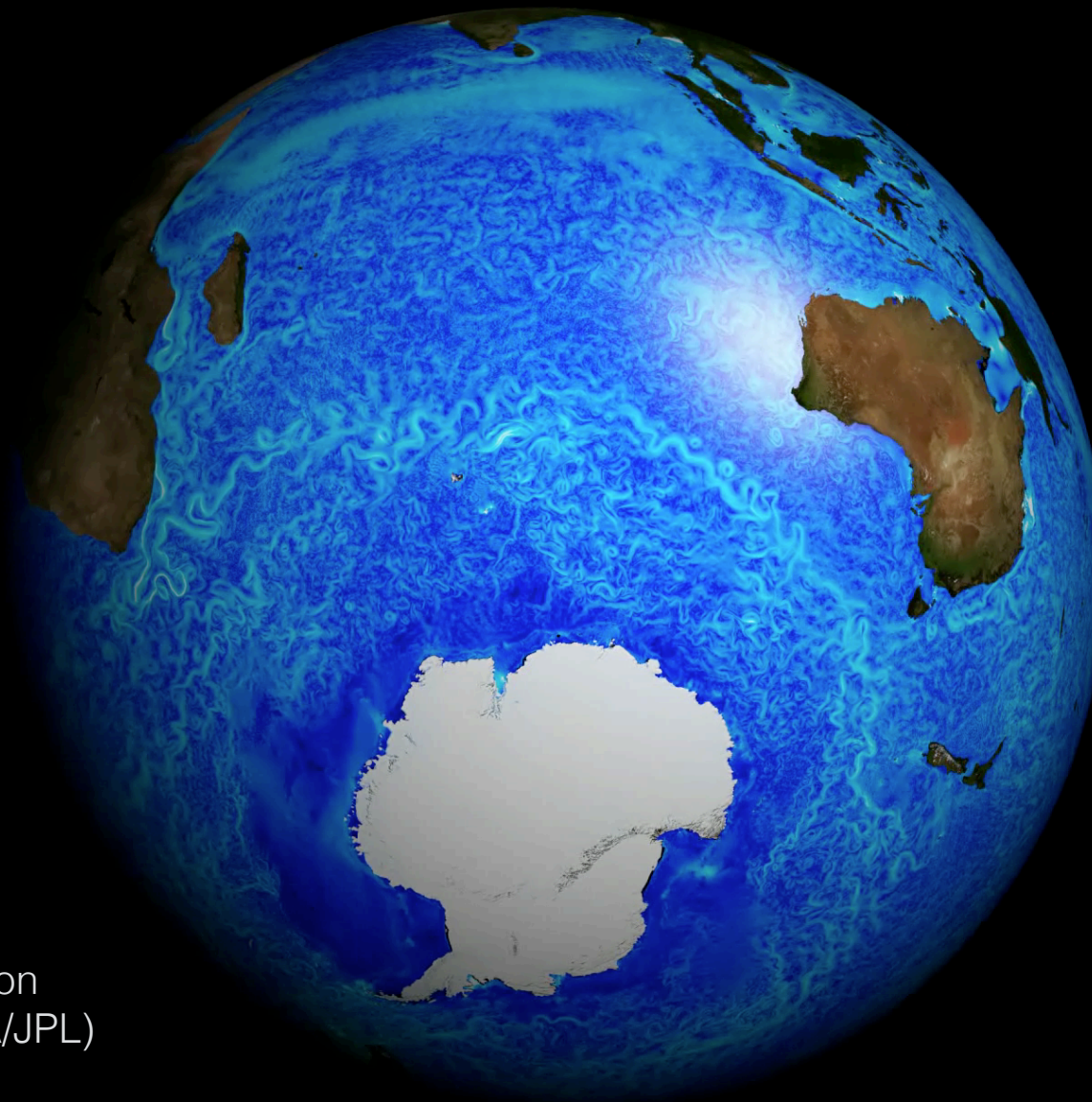
# Eddy saturation in a barotropic model



Navid Constantinou  
Scripps Institution of Oceanography, UC San Diego



Acknowledgements: Bill Young, NOAA C&GC fellowship



the Antarctic  
Circumpolar  
Current

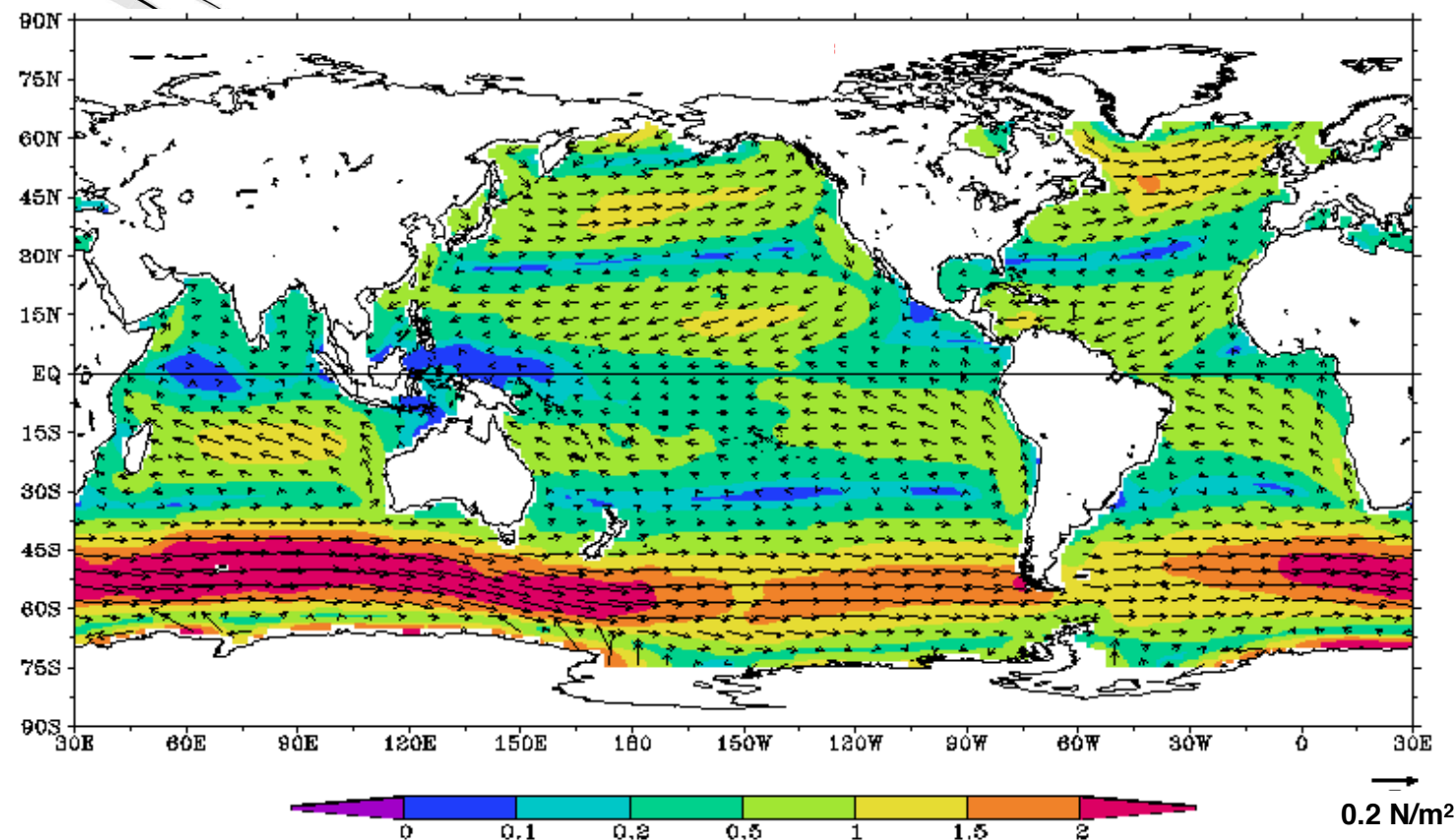
LLC4320 sea surface speed animation  
by C. Henze and D. Menemenlis (NASA/JPL)  
1/48<sup>th</sup> degree, 90 vertical levels  
MITgcm spun up from ECCO v4 state estimate

# what drives the Antarctic Circumpolar Current?



Climate Prediction Center

**GODAS Wind Stress, 1982-2004 Annual**



strong westerly winds blow over the Southern Ocean  
transferring momentum through wind stress at the surface

how is this momentum balanced? bottom drag?

# Note on the Dynamics of the Antarctic Circumpolar Current

By W. H. MUNK and E. PALMÉN

1951

## Abstract

Unlike all other major ocean currents, the Antarctic Circumpolar Current probably does not have sufficient frictional stress applied at its lateral boundaries to balance the wind stress. The balancing stress is probably applied at the bottom, largely where the major submarine ridges lie in the path of the current. The meridional circulation provides a mechanism for extending the current to a large enough depth to make this possible.



W.H. Munk  
(100th bday on Oct 19th, 2017)

start with the zonal  
angular momentum  
equation

$f(y)$  is the Coriolis parameter  
 $f = 2\Omega \sin \vartheta$

$$(\partial_t + u\partial_x + v\partial_y + w\partial_z) \underbrace{\left(u - \int^y f(y') dy'\right)}_{\substack{\text{def} \\ a}} + p_x = \tau_z$$

angular momentum

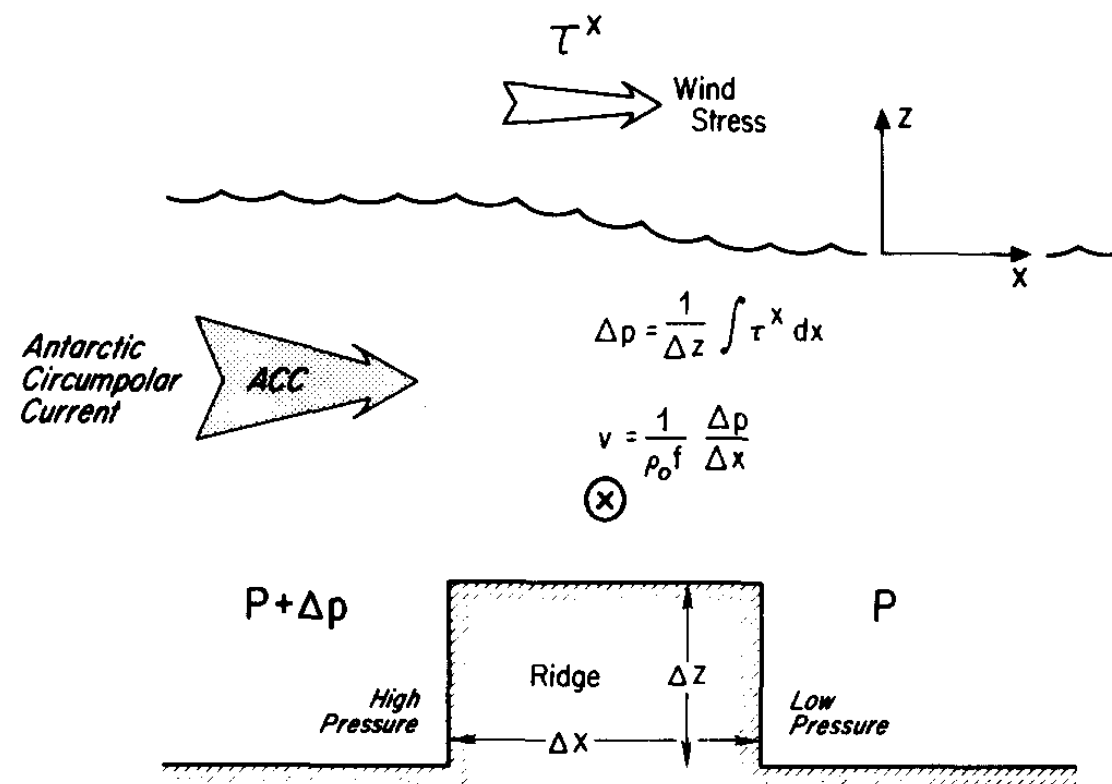
vertically integrate,  
top  $z=0$  to bottom  $z=-h(x,y)$

$$\begin{aligned} \partial_t \int_{-h}^0 a dz + \partial_x \left[ \int_{-h}^0 ua + p dz \right] + \partial_y \int_{-h}^0 va dz = \\ = \underbrace{\tau(0)}_{\text{wind stress}} - \underbrace{\tau(-h)}_{\text{bottom drag}} + \underbrace{h_x p(-h)}_{\text{form stress}} \end{aligned}$$

we've used  
integration by parts:

$$\int_{-h}^0 p_x dz = \partial_x \int_{-h}^0 p dz - h_x p(-h)$$

# topographic form stress



Johnson & Bryden 1989

Schematic presentation of bottom form drag or mountain drag. Wind stress imparted eastward momentum in the water column is removed by the pressure difference across the ridge.

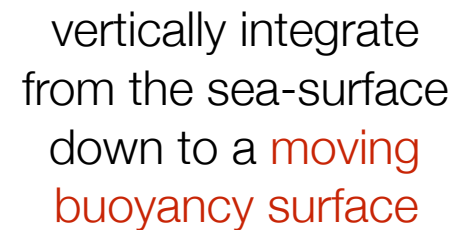
$$\begin{aligned}
 \partial_t \int_{-h}^0 a \, dz + \partial_x \left[ \int_{-h}^0 u a + p \, dz \right] + \partial_y \int_{-h}^0 v a \, dz = \\
 = \underbrace{\tau(0)}_{\text{wind stress}} - \underbrace{\tau(-h)}_{\text{bottom drag}} + \underbrace{h_x p(-h)}_{\text{form stress}}
 \end{aligned}$$

Topographic form stress is a purely **barotropic** process.

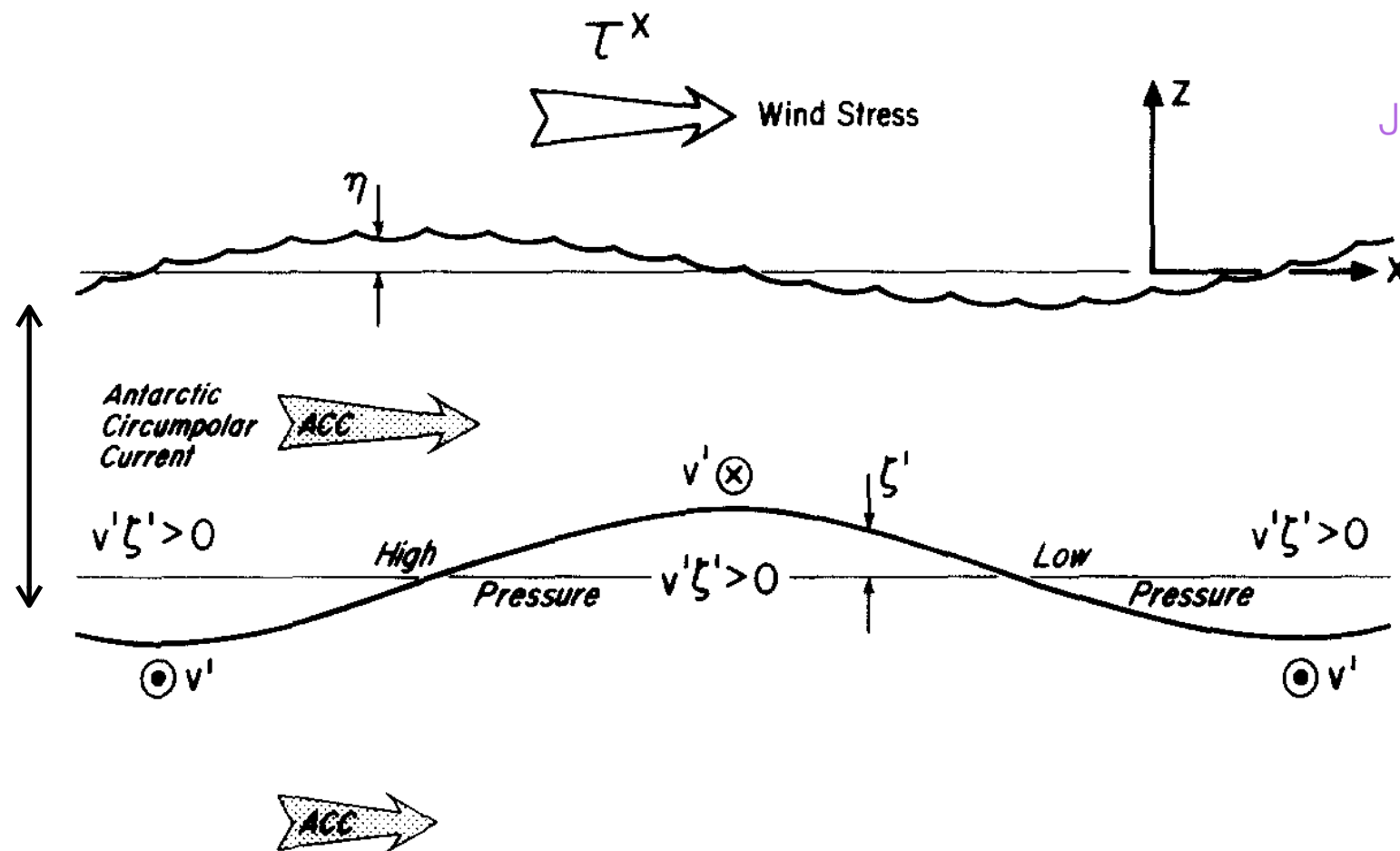


# interfacial form stress

Johnson & Bryden 1989



(i.e., integrate within  
a layer of constant density)



Schematic presentation of interfacial form drag. Correlations of perturbations in the interface height,  $\zeta'$ , and the meridional velocity,  $V'$  ( $\odot$  indicating poleward flow and  $\otimes$  indicating equatorward flow), which are related to pressure perturbations by geostrophy, allow the upper layer to exert an eastward force on the lower layer and the lower layer to exert a westward force on the upper layer; thus effecting a downward flux of zonal momentum.

Interfacial form stress requires **baroclinicity**.

# the most popular scenario for the momentum balance

- momentum is imparted at the surface by wind,
- isopycnals slope, creating **baroclinic** instability,
- momentum is transferred downwards by **interfacial eddy form stress**
- momentum reaches the bottom where it is transferred to the solid Earth by **topographic form stress**.

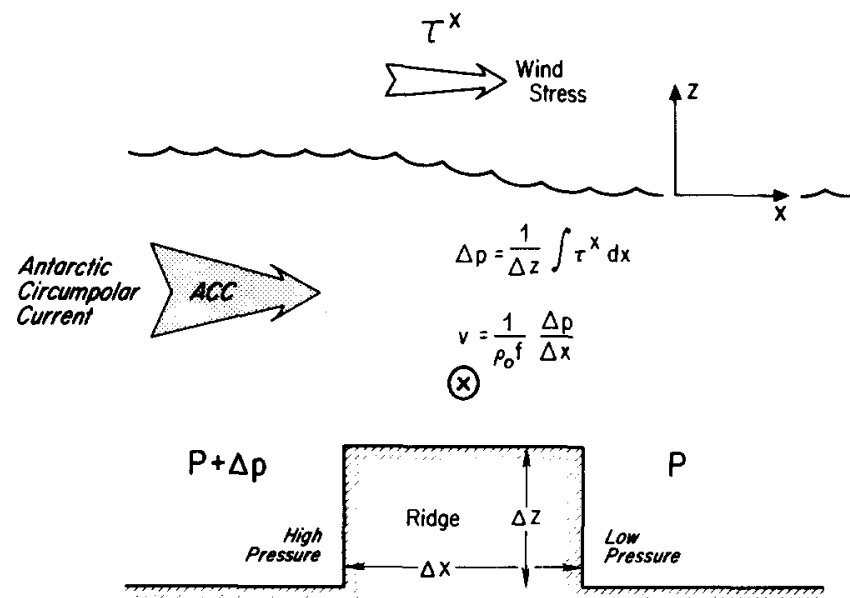
Johnson & Bryden 1989

$$\text{isopycnal slope} = \left[ -\frac{\tau_s}{f \kappa} \right]^{1/2}$$

Marshall & Radko 2003

This **baroclinic** scenario sets up the ACC transport (e.g. the transport through Drake Passage).

but what about **barotropic** dynamics?



The sea surface pressure gradient can be *directly* communicated to the bottom.

And it will be, unless compensated by internal isopycnal gradients.

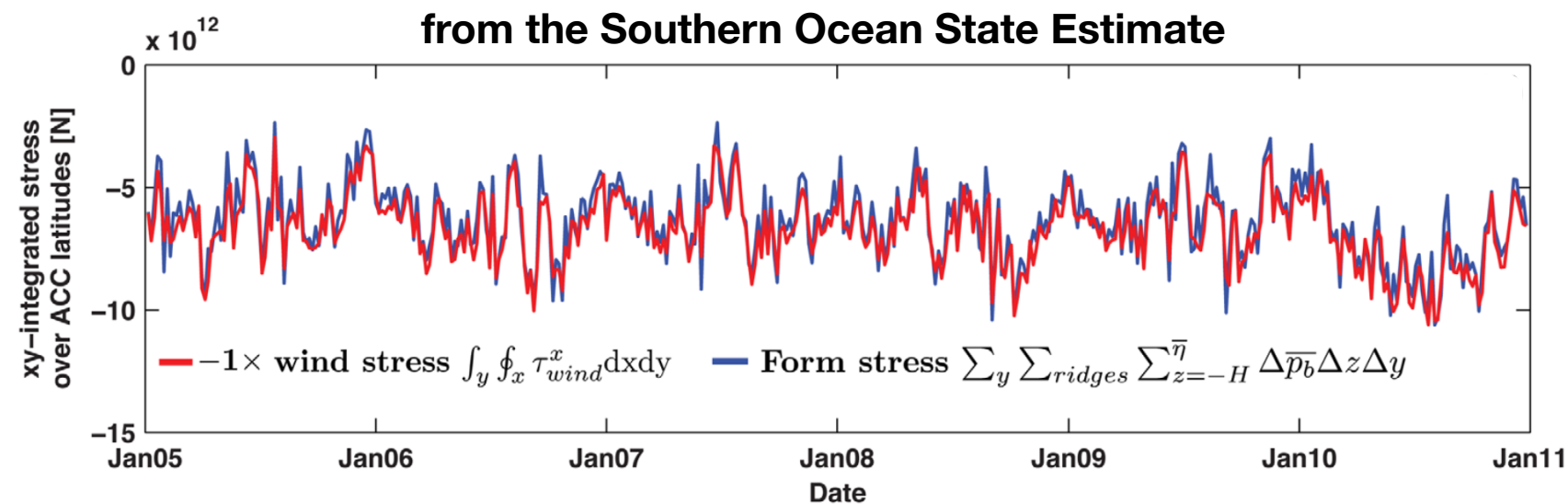
Isn't **barotropic** “communication” much simpler?

wind stress is *rapidly* communicated to the bottom through **barotropic** processes



**Barotropic** processes are fast (~days).

**Baroclinic** processes are much slower (~years).



Masich, Chereskin, and Mazloff 2015

~90% of variance in the topographic form stress signal is explained by the **0-day** time lag.

Similar statements also made by:

Straub 1993, Ward & Hogg 2011, Rintoul et al. 2014, Peña Molino et al. 2014, Donohue et al. 2016.



topographic form stress =  $h_x p(-h)$



THE  
NEW YORKER

*"I don't know why I don't care about the bottom of the ocean, but I don't."*

*"My dear, if you are interested in the ACC transport then, despite whether the **baroclinic** or **barotropic** scenario is pertinent, you **should** care for the bottom of the ocean."*

# the plan

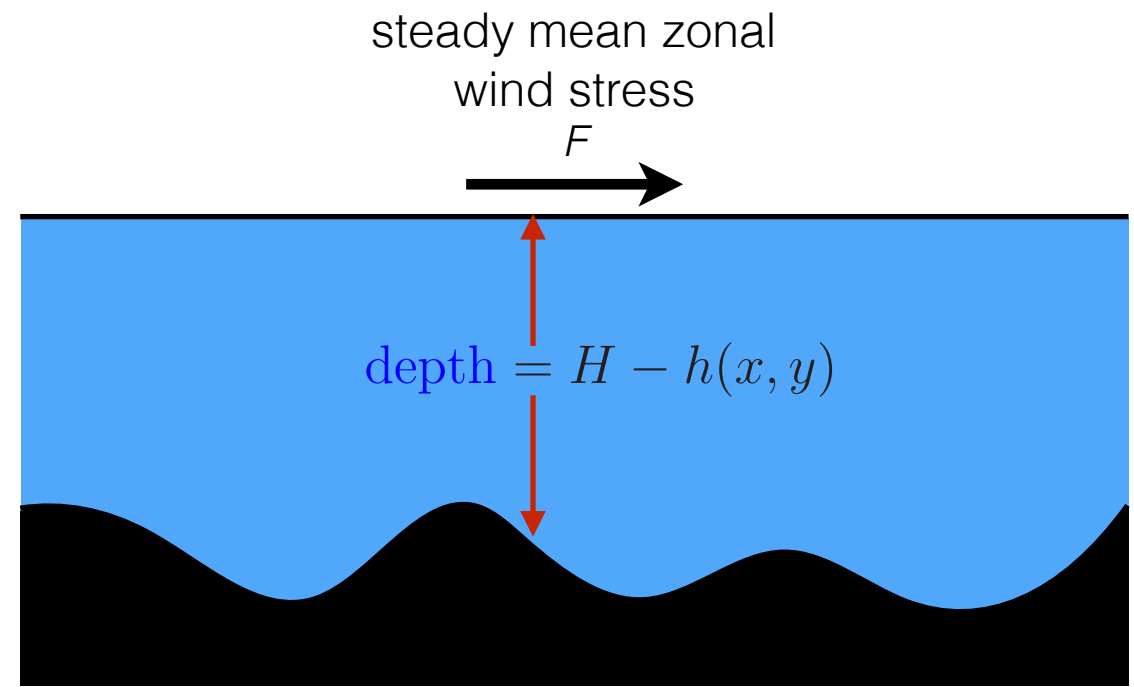
Revisit an old **barotropic** quasigeostrophic (QG) model on a beta-plane.

(Hart 1979, Davey 1980, Bretherton & Haidvogel 1976, Holloway 1987, Carnevale & Fredericksen 1987)

A distinctive feature of this model is a “large-scale **barotropic** flow”  $U(t)$ .

↑  
this is  
the ACC

Study how momentum is balanced by topographic form stress and investigate the requirements for eddy saturation.



topographic  
potential vorticity (PV)

$$\eta = \frac{f_0 h}{H}$$

QGPV

$$\nabla^2 \psi + \eta + \beta y$$

total streamfunction  $-U(t)y + \psi(x, y, t)$

# a barotropic QG model for a mid-ocean region

total streamfunction  $-U(t)y + \psi(x, y, t)$

QGPV  $\nabla^2 \psi + \eta + \beta y$

Material conservation of QGPV

$$\nabla^2 \psi_t + U(\nabla^2 \psi + \eta)_x + J(\psi, \nabla^2 \psi + \eta) + \beta \psi_x = -\mu \nabla^2 \psi + \text{hyper visc.}$$

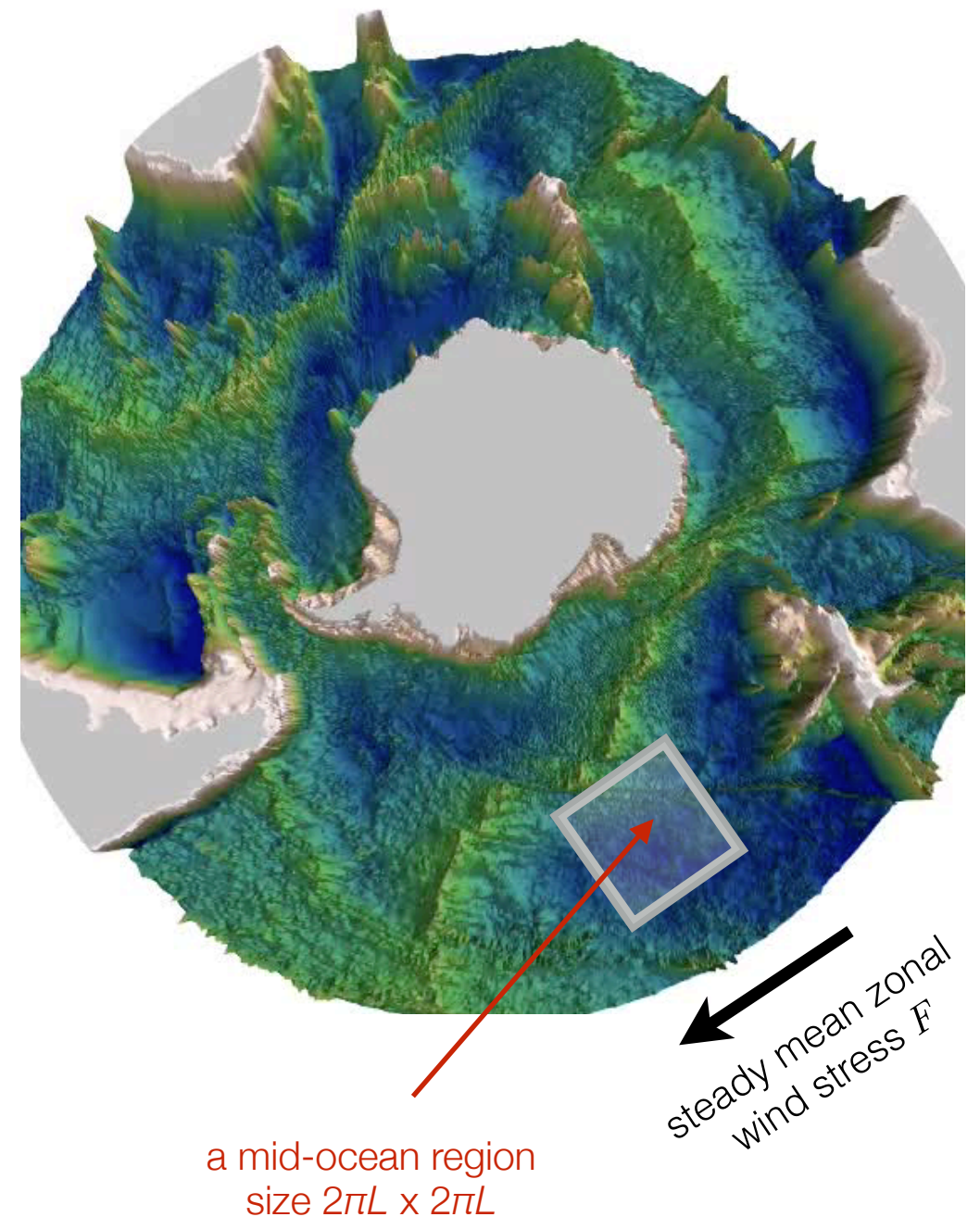
Large-scale zonal momentum

$$U_t = F - \mu U - \langle \psi \eta_x \rangle$$

topographic  
form stress

$\langle \rangle$  is domain average ;  $F = \frac{\tau_s}{\rho_0 H}$  wind stress forcing

periodic boundary conditions



the large-scale flow equation:  $U_t = F - \mu U - \langle \psi \eta_x \rangle$

zonal angular momentum density:  $a(x, y, z, t) = u(x, y, z, t) - \int^y f(y') dy'$

vertically integrated  
zonal angular  
momentum equation

$$\begin{aligned} \partial_t \int_{-h}^0 a \, dz + \cancel{\partial_x \left[ \int_{-h}^0 ua + p \, dz \right]} + \cancel{\partial_y \int_{-h}^0 va \, dz} = \\ = \underbrace{\tau(0)}_{\text{wind stress}} - \underbrace{\tau(-h)}_{\text{bottom drag}} + \underbrace{h_x p(-h)}_{\text{form stress}} \end{aligned}$$

horizontally integrate,  
drop the boundary fluxes,  
and divide by the volume

$$U_t = F - \mu U - \langle \psi \eta_x \rangle$$

$$U(t) \stackrel{\text{def}}{=} V^{-1} \iiint u(x, y, z, t) \, dV$$

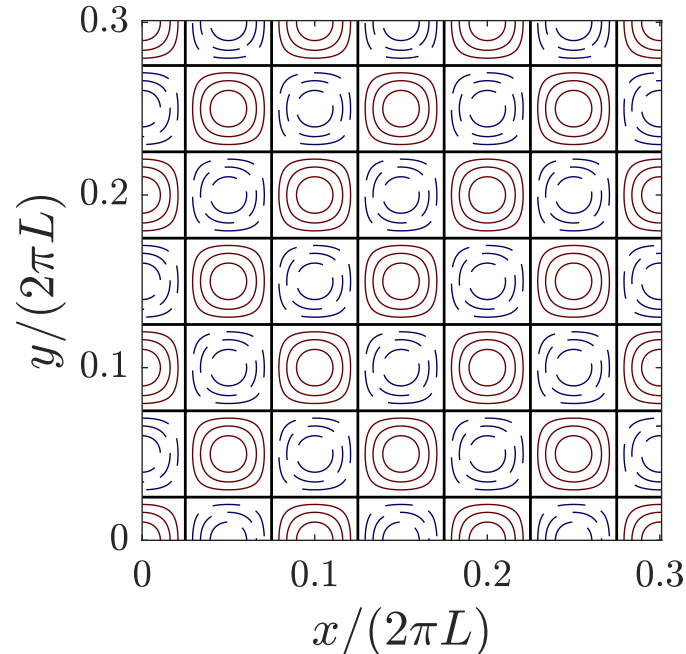
vertical & horizontal integral  
over a mid-ocean region  
(**not** a zonal average)



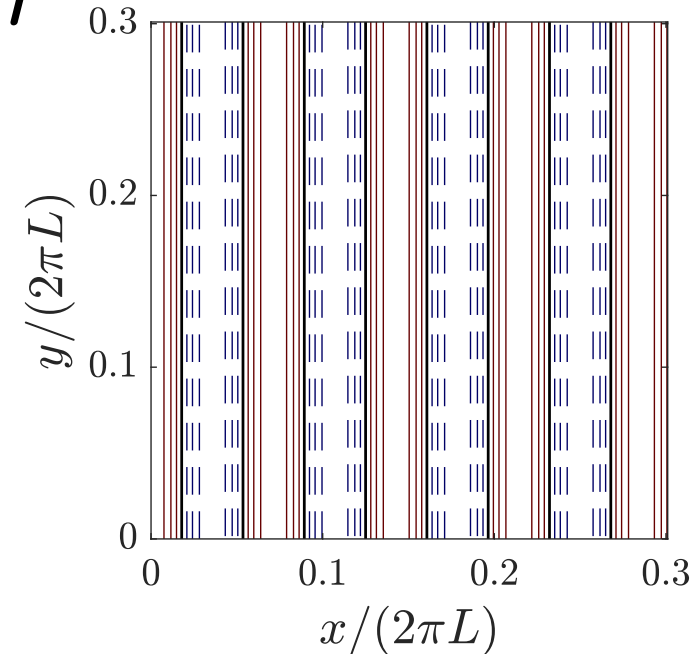
Let's see some solutions.

let's use these two topographies

$$\eta^{\times} = 2\eta_{\text{rms}} \cos(10x/L) \cos(10y/L)$$



$$\eta^{\parallel} = \sqrt{2}\eta_{\text{rms}} \cos(14x/L)$$



$1/9$  of the  
periodic  
domain

(both topographies imply the same length-scale:  $\ell_{\eta} = \sqrt{\frac{\langle \eta^2 \rangle}{\langle |\nabla \eta|^2 \rangle}} = 0.07L$ )

let's put some “quasi-realistic” numbers for the Southern Ocean

$$L = 775 \text{ km} \quad H = 4 \text{ km} \quad \rho_0 = 1035 \text{ kg m}^{-3}$$

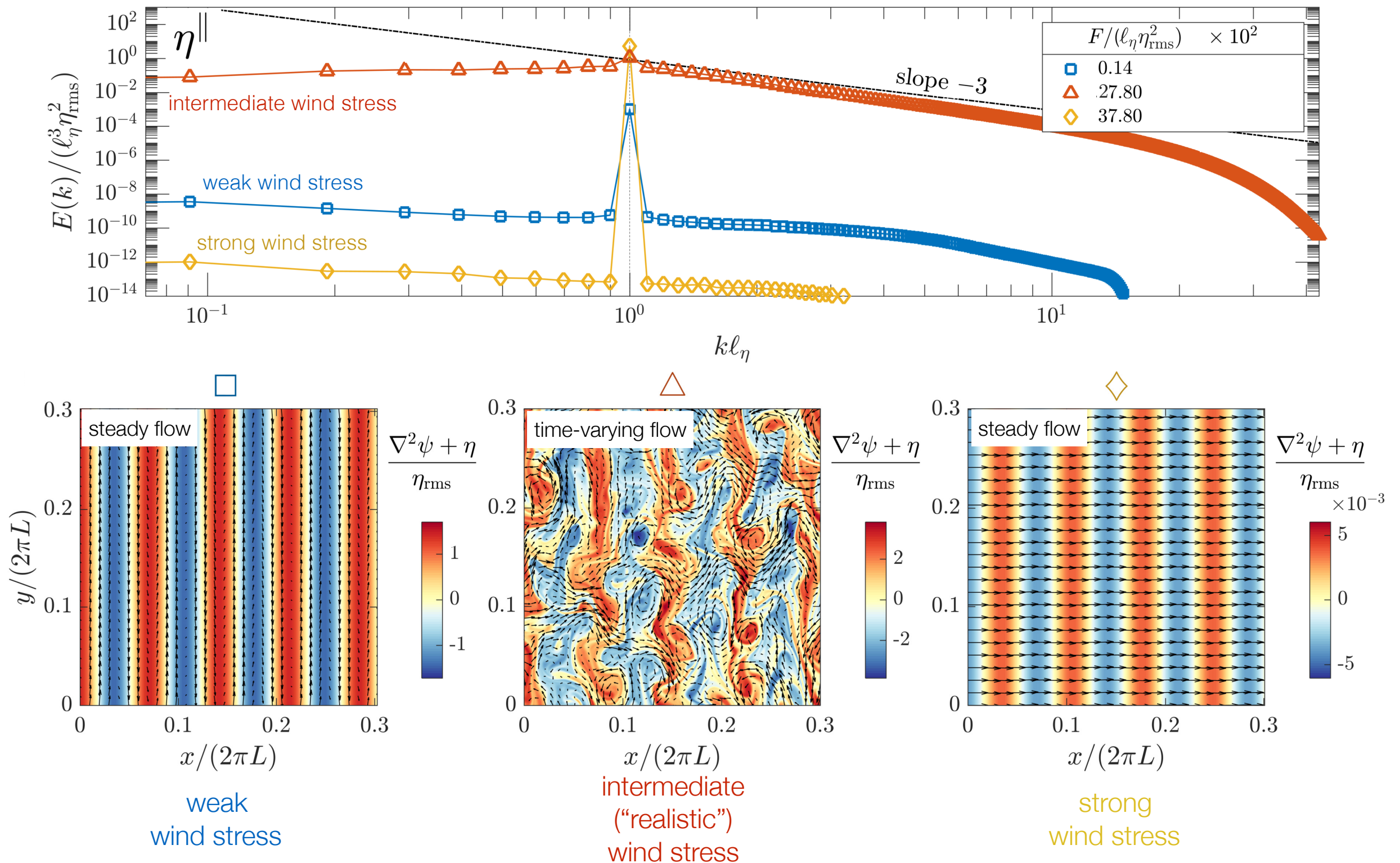
$$f_0 \quad \& \quad \beta \quad \text{for } 60^\circ\text{S}$$

$$\mu = (180 \text{ days})^{-1}$$

$$h_{\text{rms}} = 200 \text{ m} \Rightarrow \eta_{\text{rms}} = (1.8 \text{ days})^{-1}$$

# energy spectra & flow snapshots

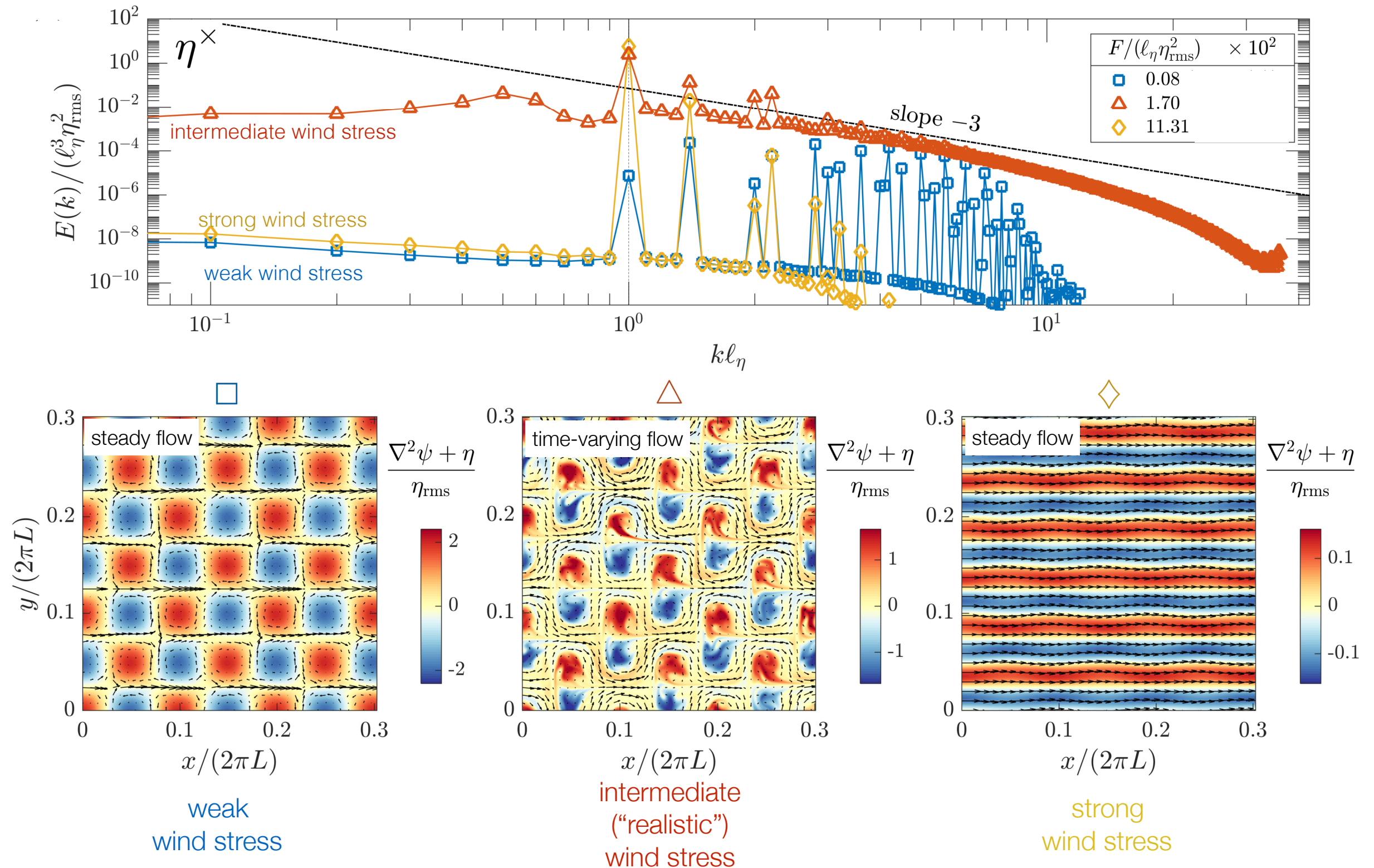
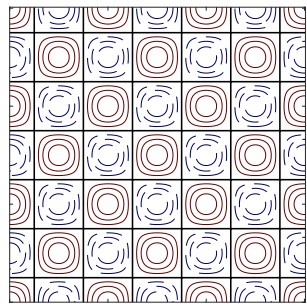
$\eta^{\parallel}$





# energy spectra & flow snapshots

$\eta^\times$





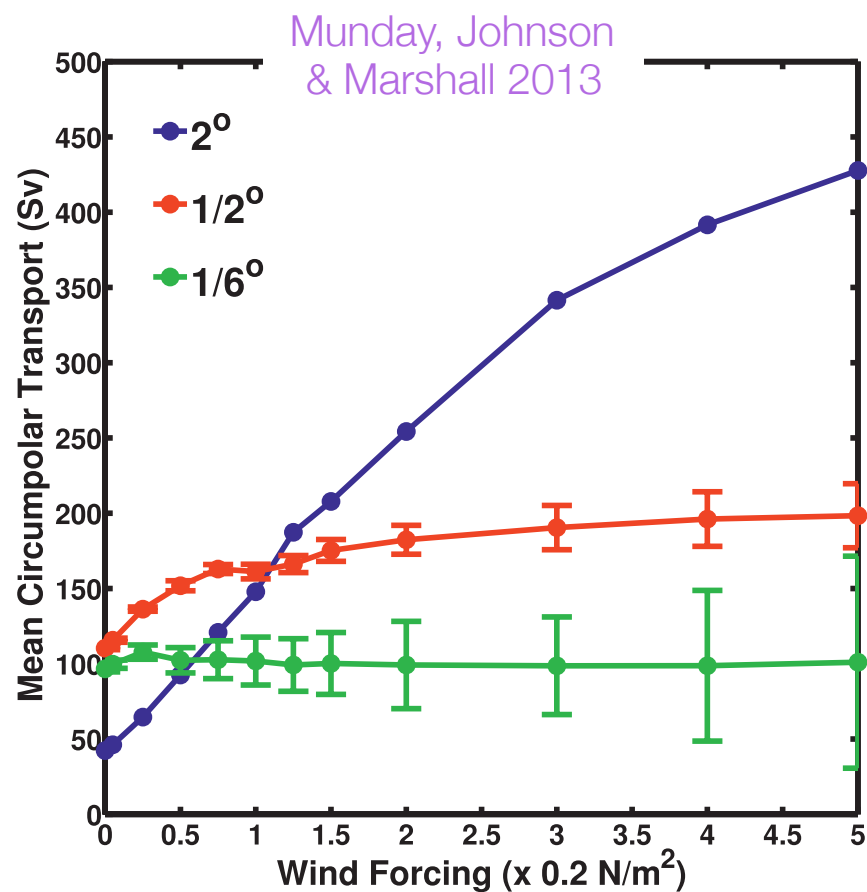
**Question:**

Does this **barotropic** QG model  
show eddy saturation?

Do we need **baroclinicity**?  
Do we even need channel walls?

# but first, what is “eddy saturation”?

The *insensitivity* of the total ACC volume transport to wind stress increase.



Eddy saturation is seen in eddy-resolving ocean models.

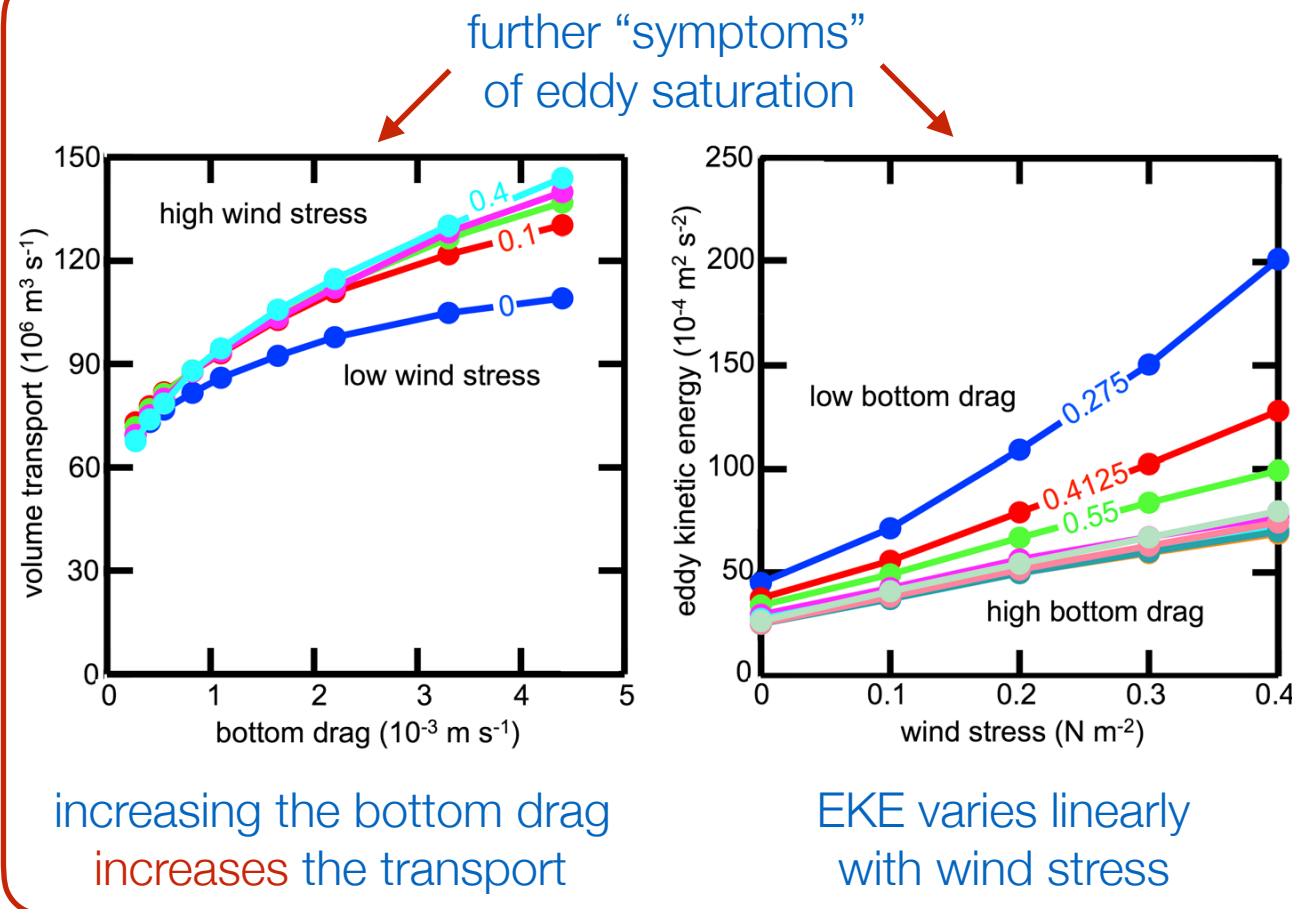
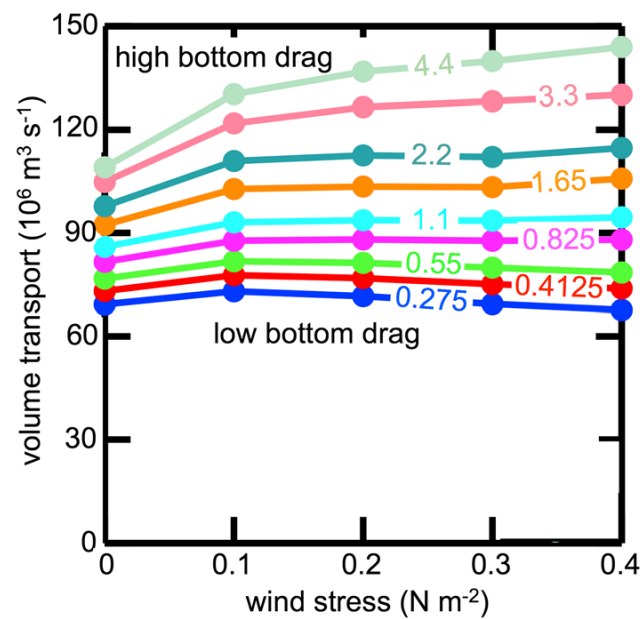
Higher resolution → eddy saturation “occurs”

Eddy saturation was theoretically predicted by [Straub \(1993\)](#) but with an *entirely* **baroclinic** argument.  
(based on vertical momentum transfer interfacial eddy form stress)

[There are many other examples: [Hallberg & Gnanadesikan 2001](#), [Tansley & Marshall 2001](#), [Hallberg & Gnanadesikan 2006](#), [Hogg et al. 2008](#), [Nadeau & Straub 2009](#), [Farneti et al. 2010](#), [Nadeau & Straub 2012](#), [Meredith et al. 2012](#), [Morisson & Hogg 2013](#), [Abernathy & Cessi 2014](#), [Farneti et al. 2015](#), [Nadeau & Ferrari 2015](#), [Marshall et al. 2016](#).]

# yet more eddy saturation

Marshall, Ambaum,  
Maddison, Munday  
& Novak 2016



[See also: [Hogg & Blundell 2006](#), [Nadeau & Straub 2012](#), and [Nadeau & Ferrari 2015](#).]

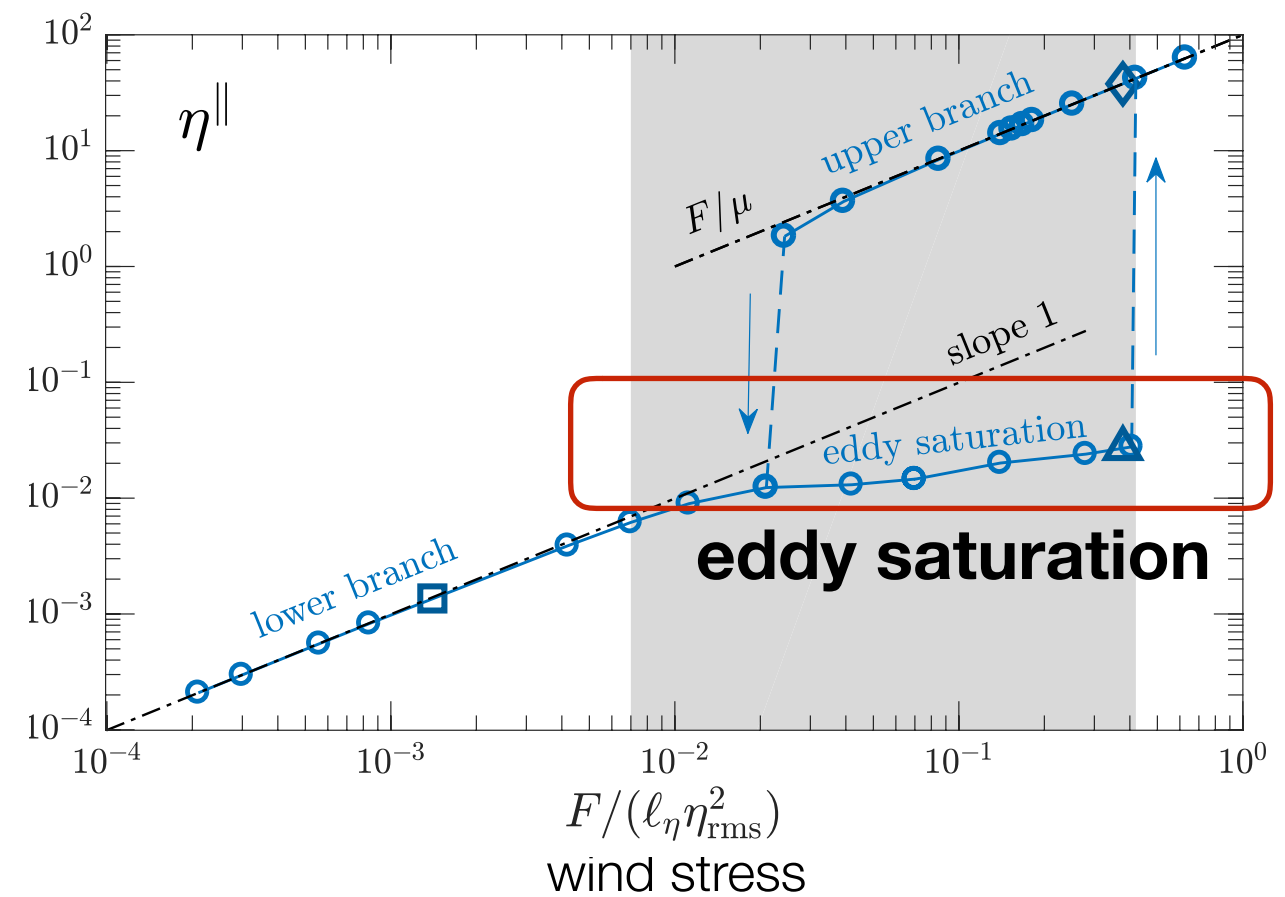
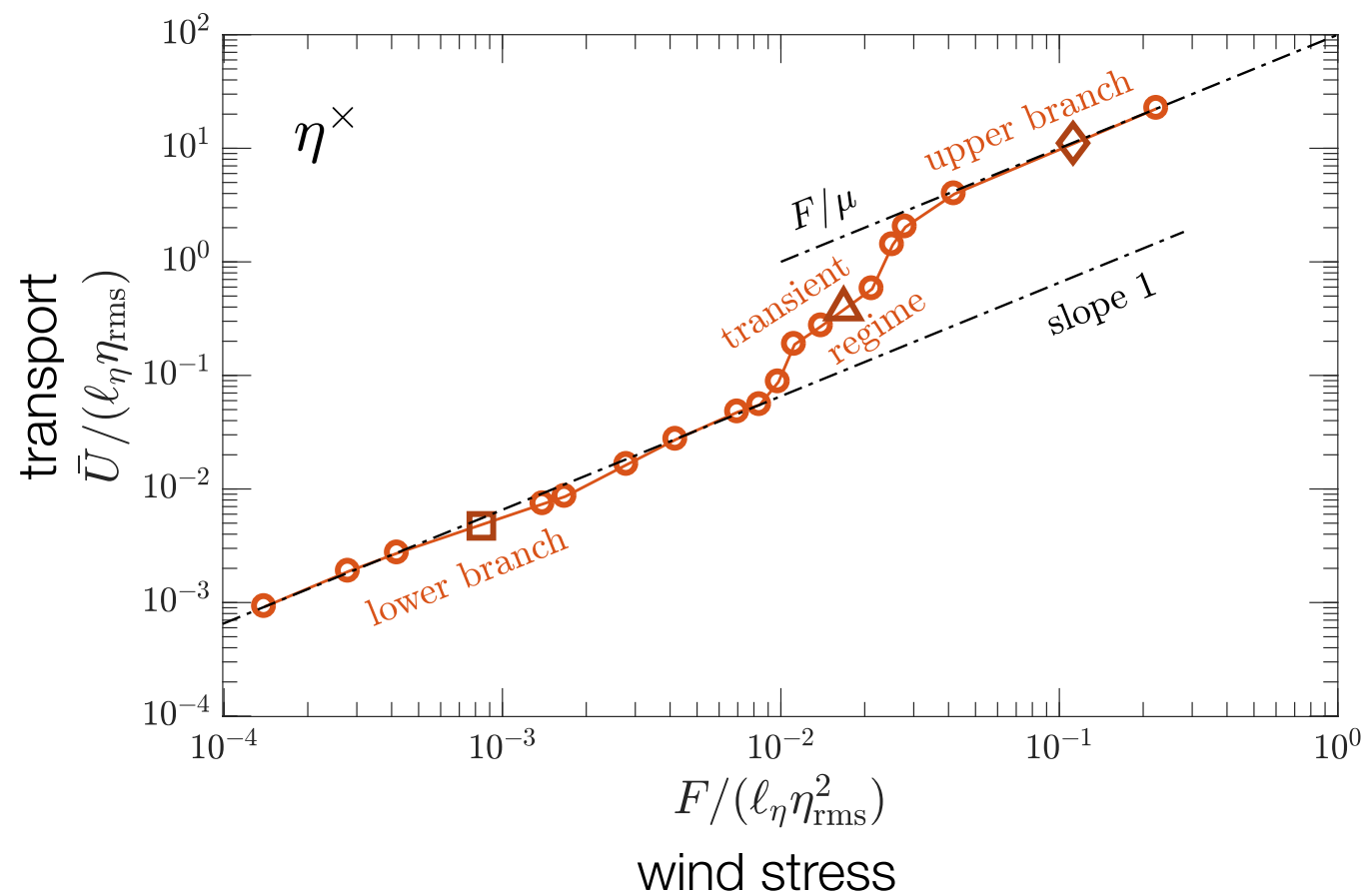
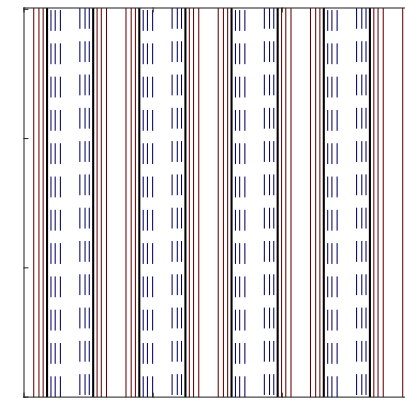
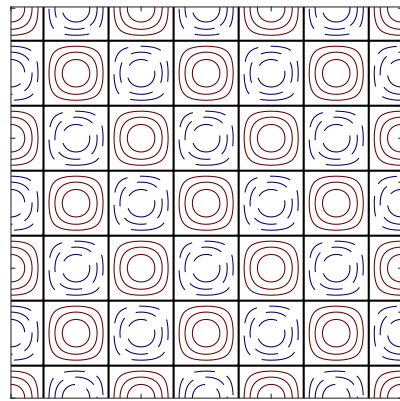
**Question:**

So, does this **barotropic** QG model  
show eddy saturation or not?

Let's keep everything fixed and vary the wind stress  $F$ .  
How does the ACC transport (time-mean of  $U$ ) respond?



how does the transport vary with wind stress  
in our **barotropic** QG model?



do we understand why?

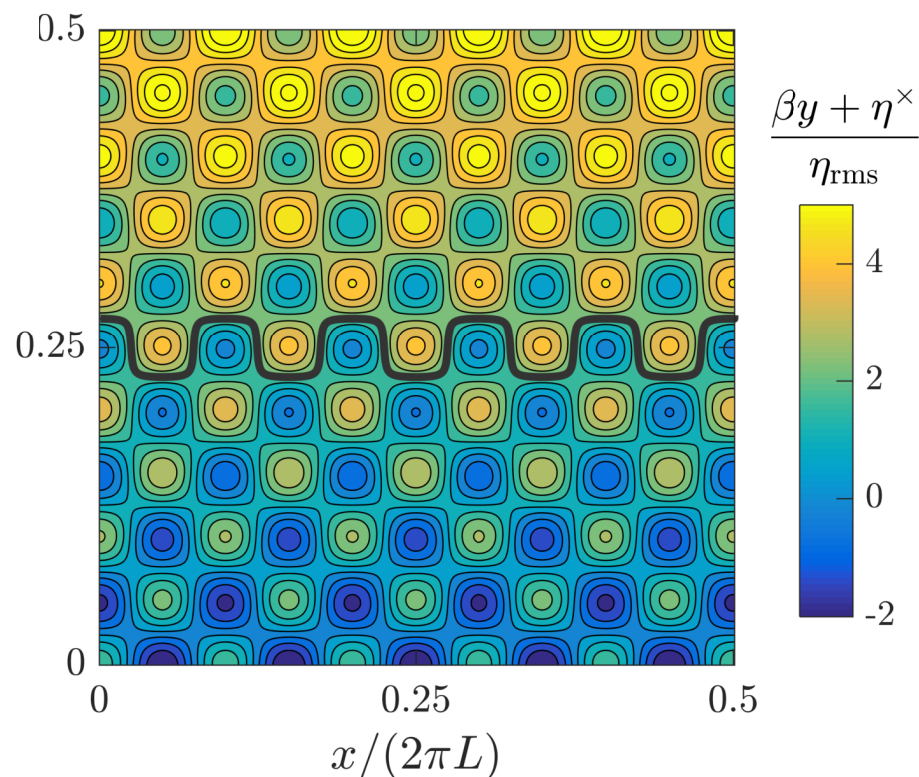
# geostrophic contours

$$\beta y + \eta(x, y)$$

← this is small-Rossby number  
expansion of  $f/(H+h)$

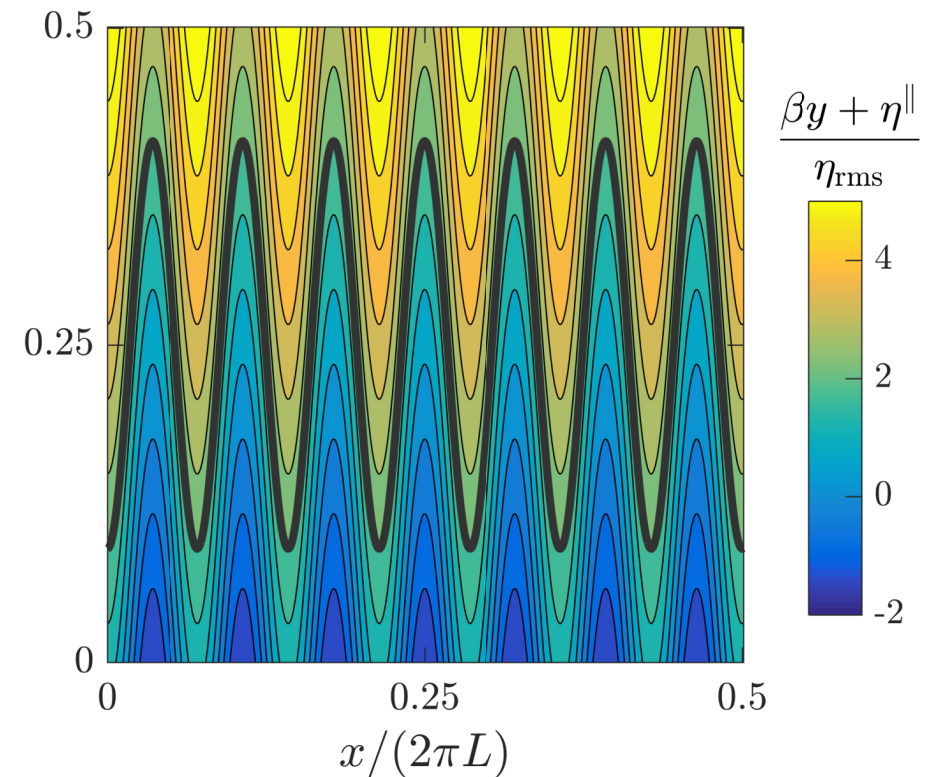
The *main control* parameter for whether eddy saturation occurs is the structure of the geostrophic contours.

$\eta^\times$  closed geostrophic contours



most geostrophic contours  
are closed

$\eta^\parallel$  open geostrophic contours



all geostrophic contours  
are open


**this topography exhibits  
eddy saturation**

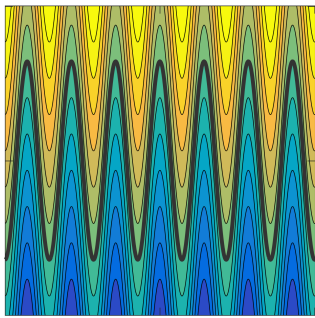
# geostrophic contours

$$\beta y + \eta(x, y)$$

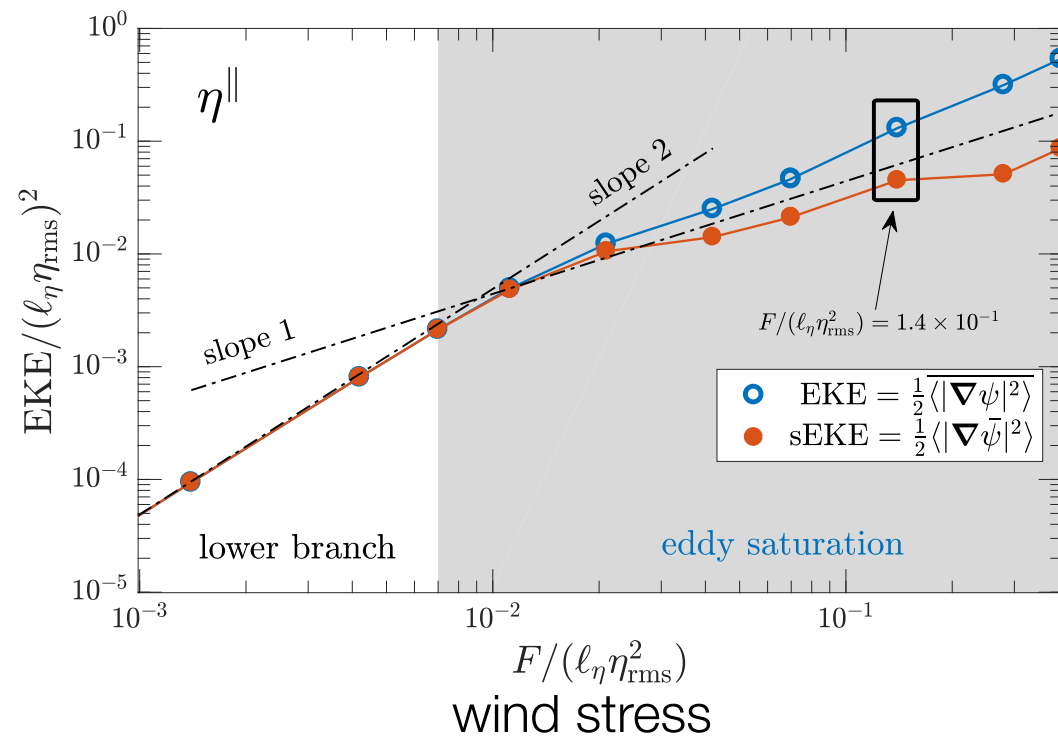
 this is small-Rossby number  
expansion of  $f/(H+h)$

Eddy saturation occurs when  
the geostrophic contours are “open”,  
that is, when the geostrophic contours  
span the domain in the zonal direction.

 this is a general result  
we've seen it in various cases  
whatever the topography  
(random, monoscale, multiscale, etc.)



# further “symptoms” of eddy saturation



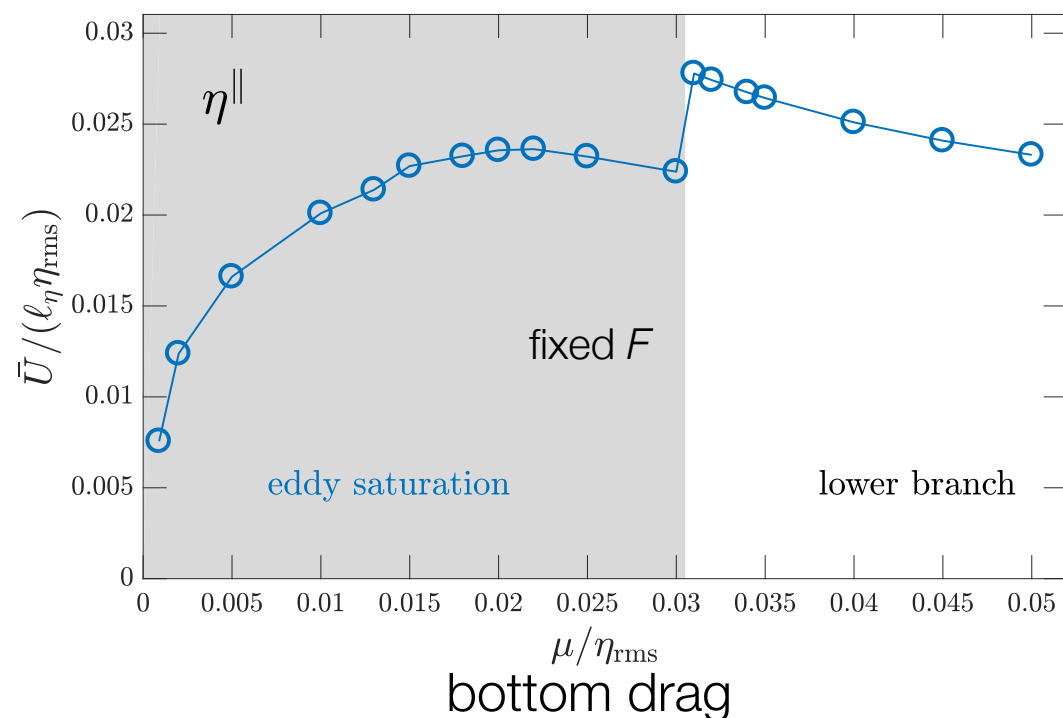
EKE grows roughly linearly  
with wind stress

large-scale  
zonal mom. eq.

energy  
power integral

$$0 = F - \mu \bar{U} - \langle \bar{\psi} \eta_x \rangle$$

$$\underbrace{\bar{U} \langle \bar{\psi} \eta_x \rangle}_{\sim F} + \underbrace{\overline{U' \langle \psi' \eta_x \rangle}}_{\text{negligible}} = 2\mu \underbrace{\langle \frac{1}{2} |\nabla \psi|^2 \rangle}_{\text{def EKE}} + \text{small hyperviscous dissipation}$$

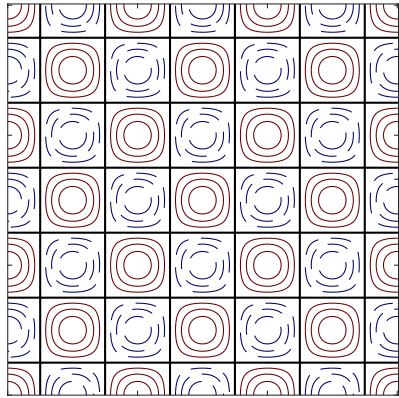


transport grows  
with increasing bottom drag

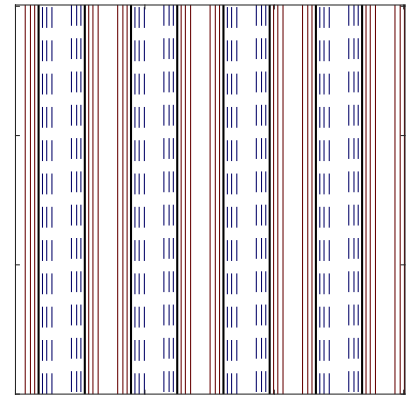
Increasing *drag* damps the eddies  
responsible for form stress.  
Thus,  $\bar{U}$  increases if the drag is larger.



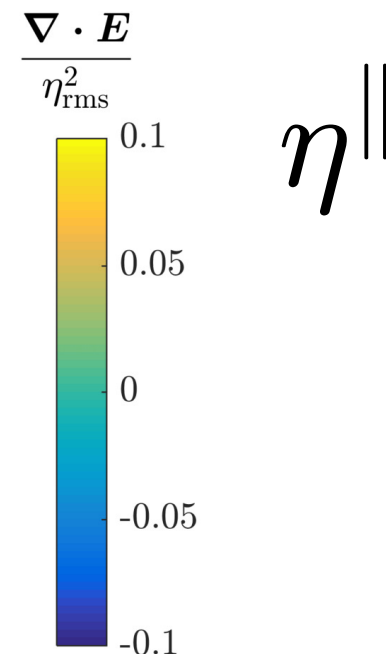
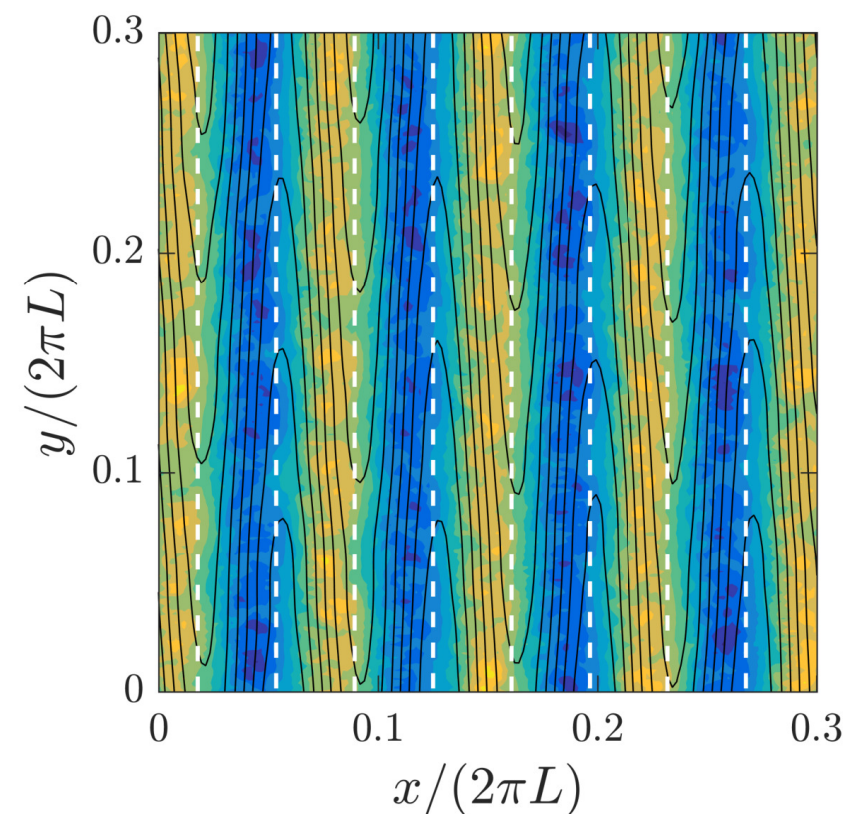
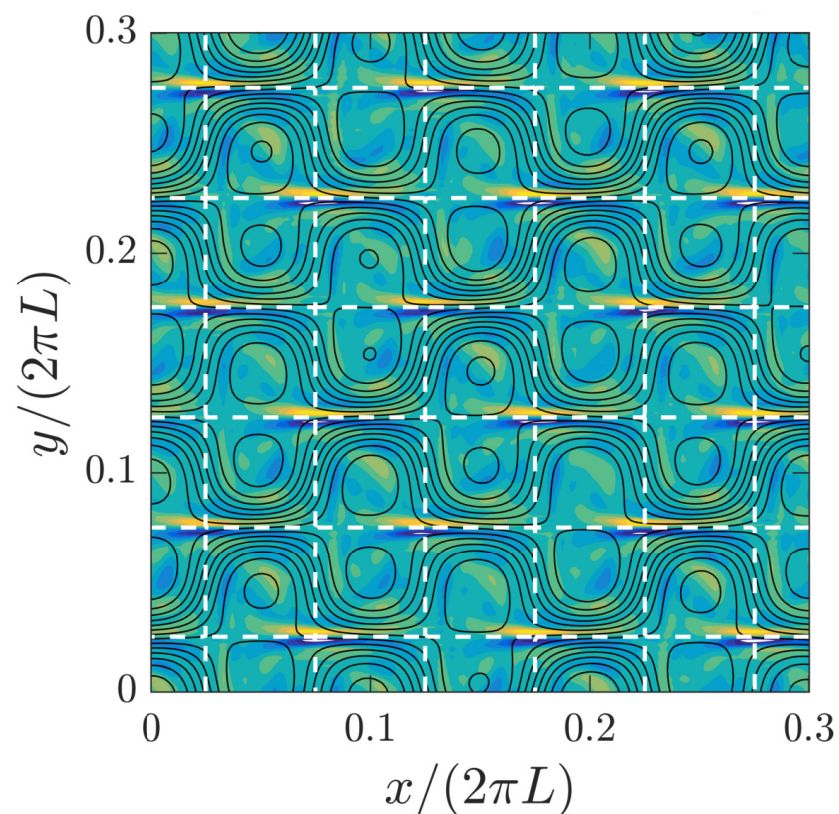
# the role of transient eddies



eddy PV fluxes:  $\mathbf{E} = \left( \overline{(U' + u') \nabla^2 \psi'}, \overline{v' \nabla^2 \psi'} \right)$



$\eta^\times$



$\eta^||$

**colors:**  $\nabla \cdot \mathbf{E}$

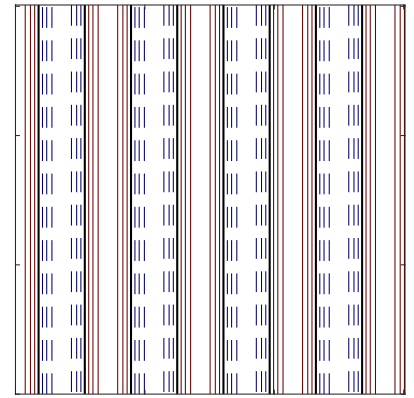
**black** contours: time-mean streamlines  $\bar{\psi} - \bar{U}y$

**white** contours:  $\eta = 0$

We want to investigate the role of the transient eddies in producing eddy-saturated states.



# stability analysis for $\eta^|| = \eta_0 \cos(mx)$



For  $\eta = \eta_0 \cos(mx)$  there exist a low-dimensional manifold

$$\psi = [S(t) \sin(mx) + C(t) \cos(mx)]/m \quad \& \quad U(t)$$

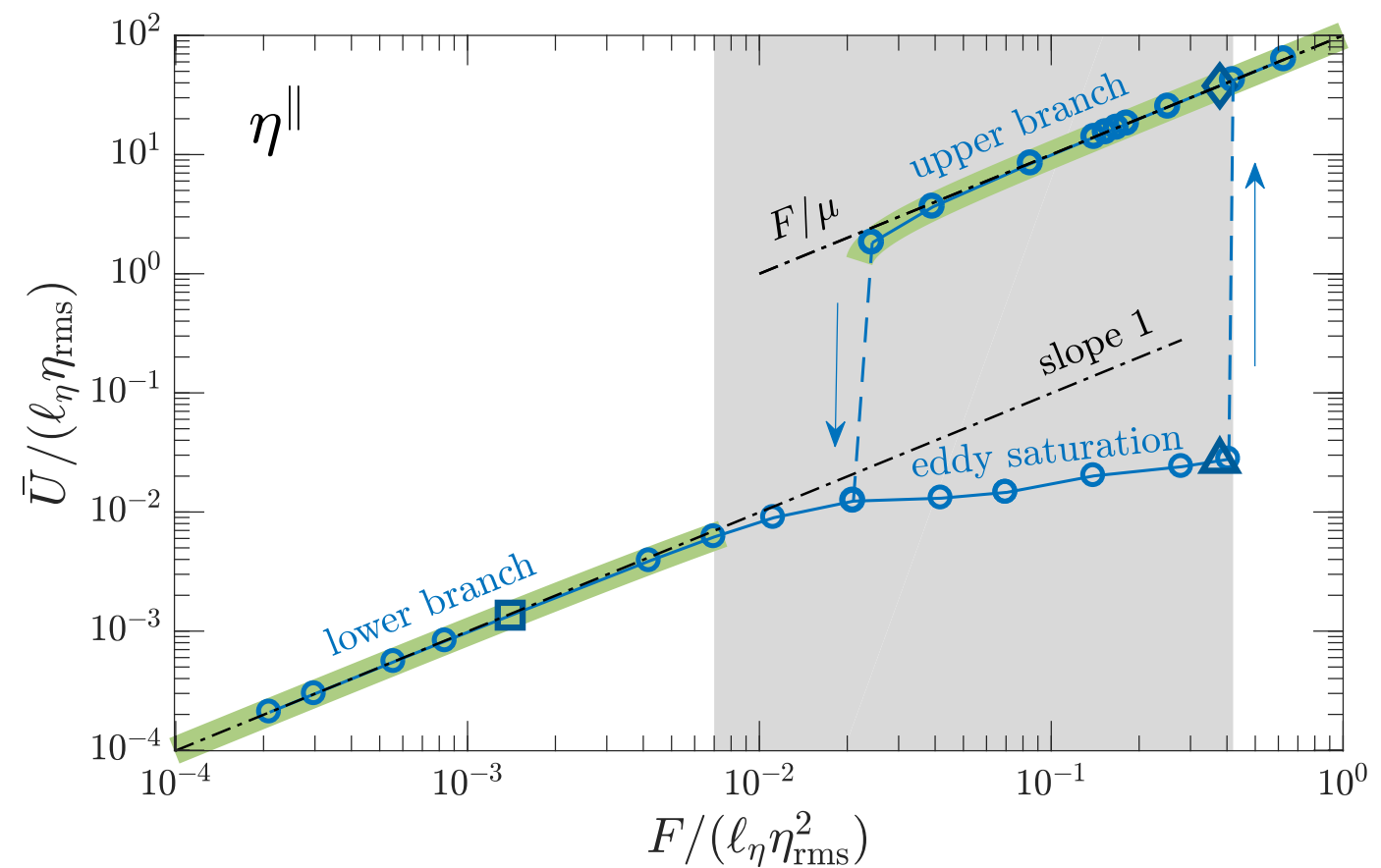
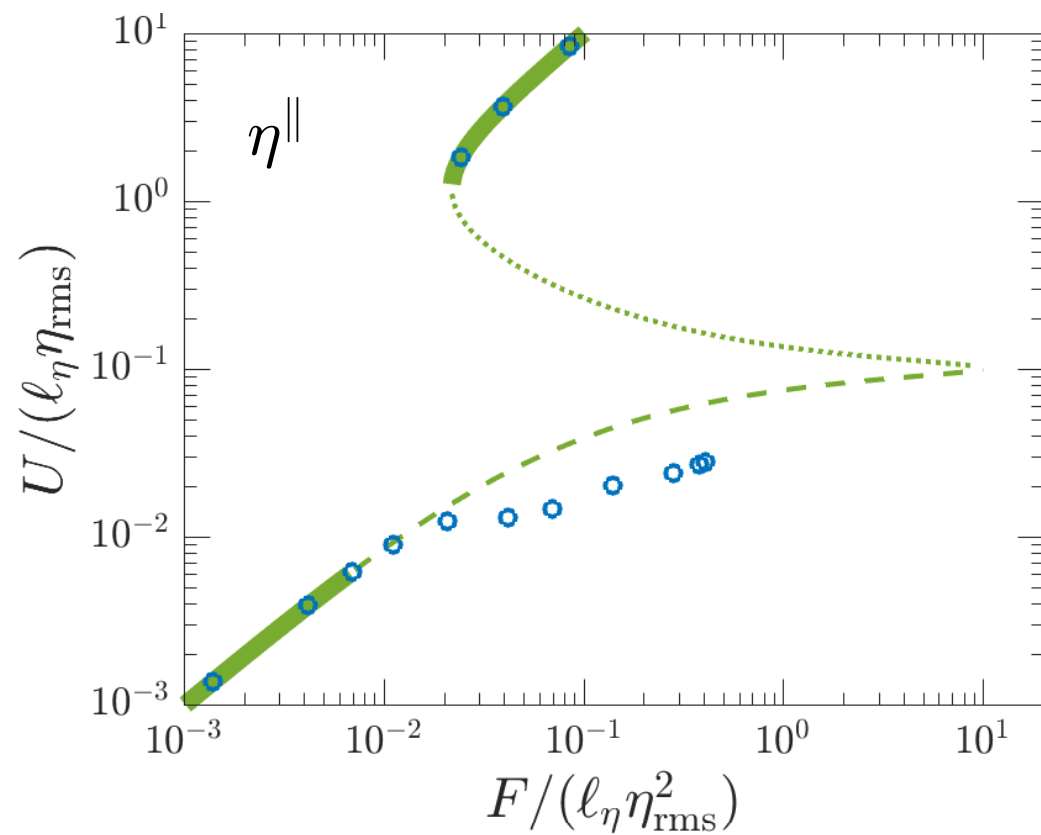
Lower and upper branch solutions are steady solutions *within* this manifold.

Stability of within the low-dim manifold; done by [Hart \(1979\)](#).

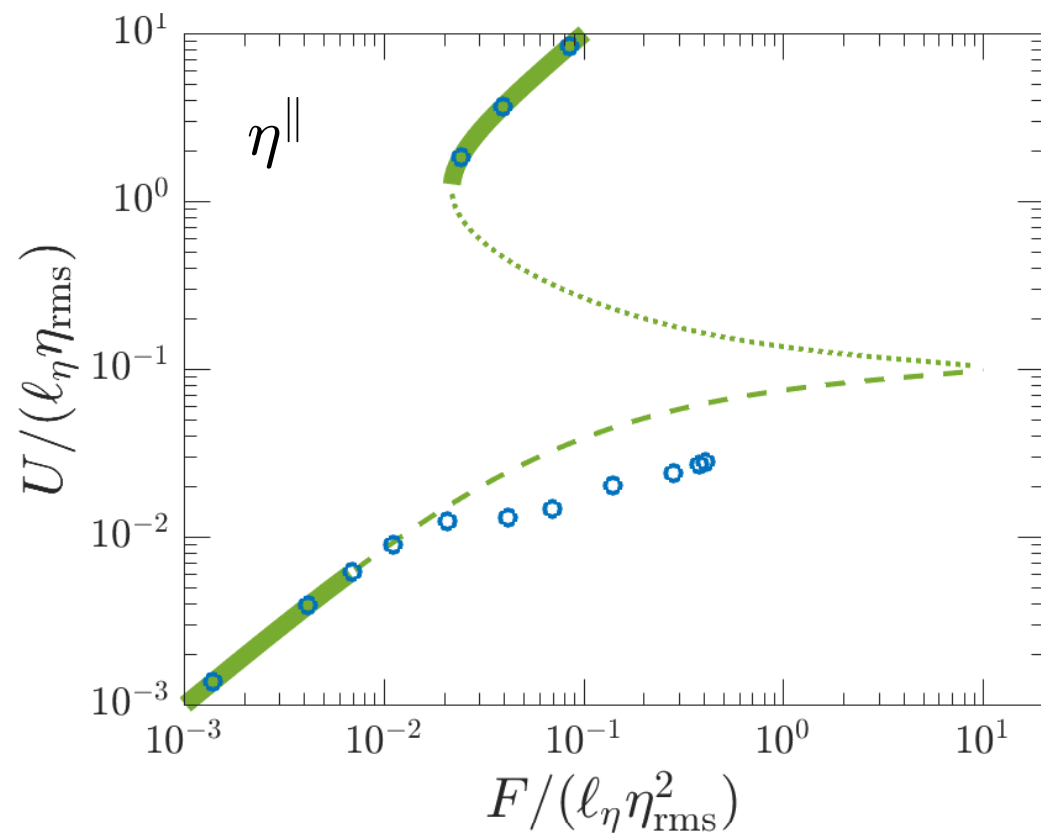
Stability of steady solutions with respect to general perturbations *outside* the low-dim manifold shed light on the role of transient eddies in eddy saturation.

(similar stability analysis was done by [Charney & Flierl 1980](#) but treating  $U$  as an external parameter)

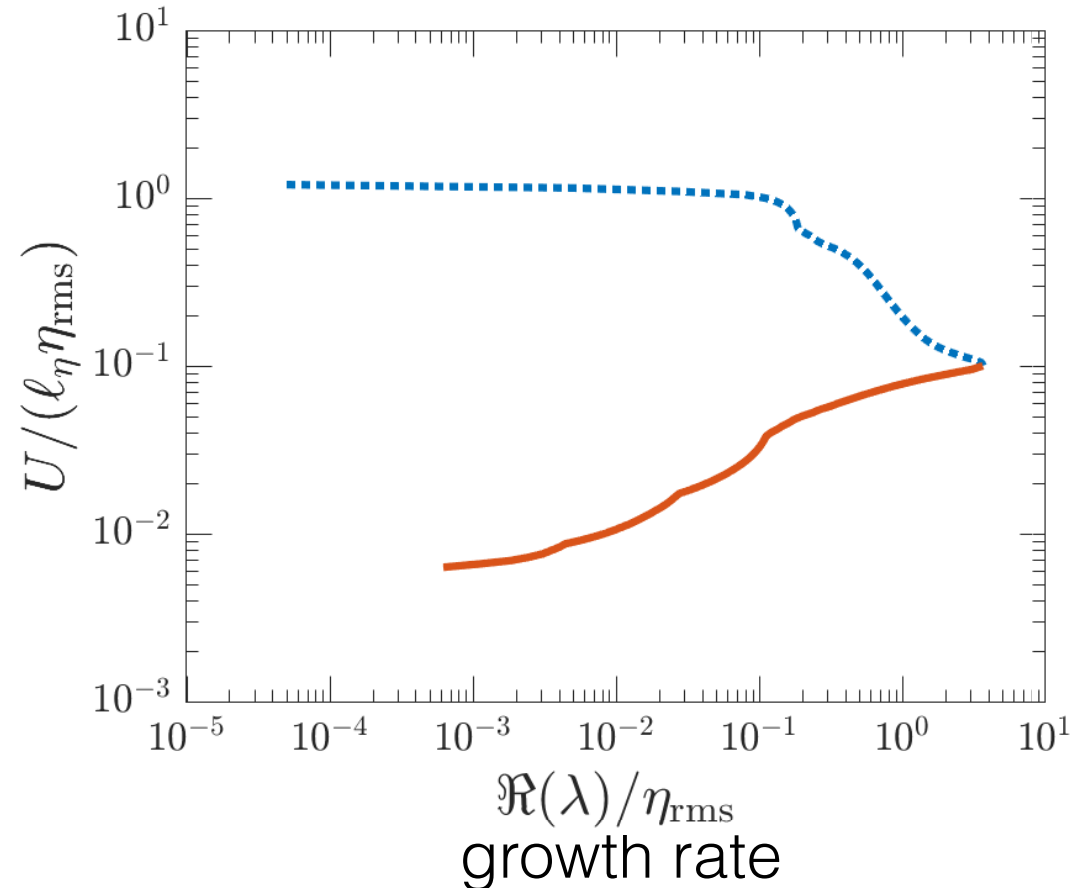
# stability analysis for $\eta^{\parallel} = \eta_0 \cos(mx)$



# stability analysis for $\eta^{\parallel} = \eta_0 \cos(mx)$



- ..... unstable
- stable *only* within low-dim manifold
- stable
- numerical solutions



Max instability growth rate increases  
 $\sim 10^4$  times with a 10-fold increase in  $U$ !

Minor changes in  $U \longrightarrow$  large transient energy production.  
 Transient eddies balance most of the momentum imparted by  $F \longrightarrow$  eddy saturation.  
 (Similarly as in the baroclinic scenario.)

# conclusion and discussion

The **barotropic** scenario for the momentum balance is viable.

This **barotropic** QG model shows eddy saturation  
when geostrophic contours are **open**.

This is surprising! All previous arguments were based on **baroclinicity**.

The **barotropic**—topographic instability is able to produce transient eddies in this  
model in a similar manner as **baroclinic** instability.

We need new process models of **baroclinic** turbulence  
in which the mean flow is wind-driven and topography exerts form stress.  
(work in progress with Bill Young)

*thank you*

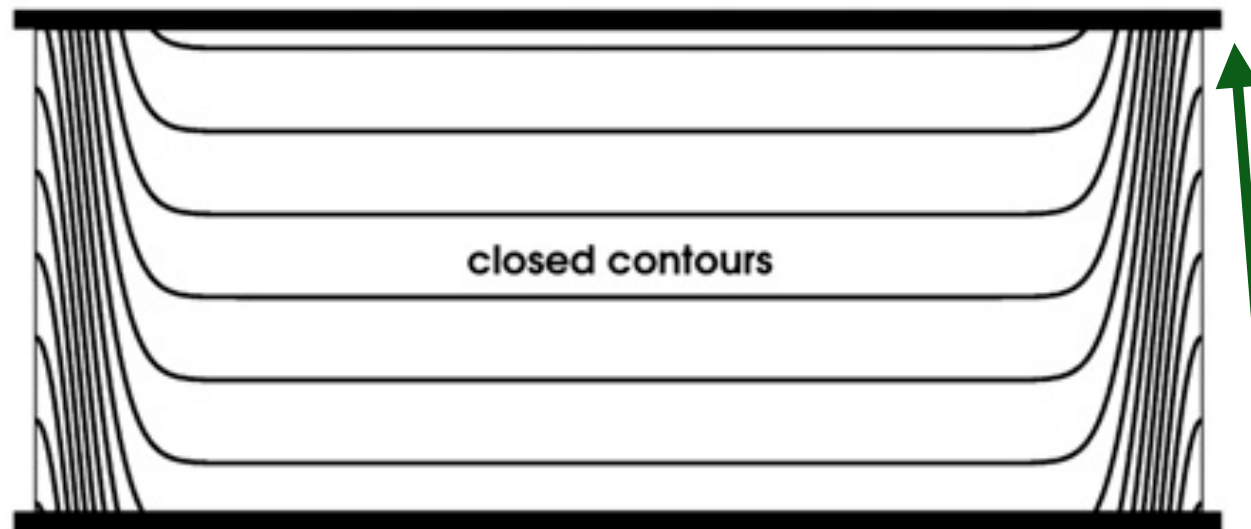
extra slides



# characterizing geostrophic contours

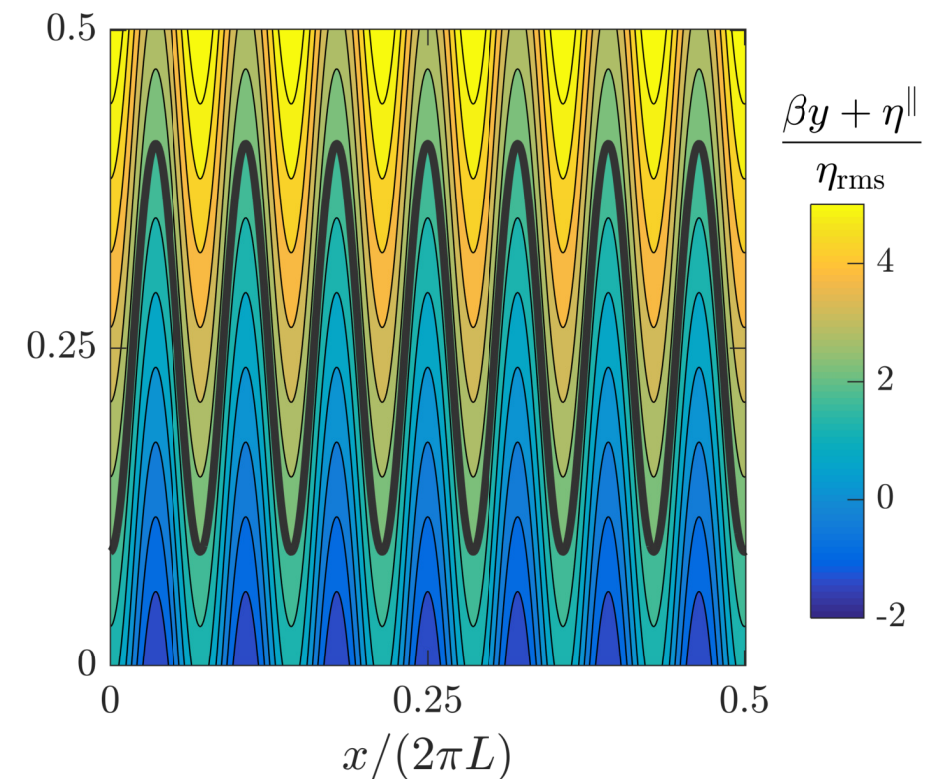
$$\beta y + \eta(x, y)$$

closed/blocked geostrophic contours



Nadeau & Ferrari 2015

open geostrophic contours



Constantinou 2017  
Constantinou & Young 2017

without channel walls  
both geostrophic contours look alike

# decomposing the ACC transport

the time-mean zonal flow:

$$\bar{u}(x, y, z) = \underbrace{\bar{u}(x, y, z) - \bar{u}_{\text{bot}}(x, y)}_{\stackrel{\text{def}}{=} \bar{u}_{\text{tw}}(x, y, z)} + \bar{u}_{\text{bot}}(x, y)$$

“thermal wind” flow

bottom flow

$$\partial_z \bar{u} = -\partial_y \bar{b}$$

$$\underbrace{\int_{-H}^0 dz \int dy \int \frac{dx}{L_x} \bar{u}}_{\stackrel{\text{def}}{=} T_{\text{ACC}}} = \underbrace{\int dy \int \frac{dx}{L_x} \bar{u}_{\text{bot}}}_{\stackrel{\text{def}}{=} T_{\text{bot}}} + \underbrace{\int_{-H}^0 dz \int dy \int \frac{dx}{L_x} \bar{u}_{\text{tw}}}_{\stackrel{\text{def}}{=} T_{\text{tw}}}$$

total  
transport

bottom

“thermal wind”

**not** included in the  
barotropic QG model